

**State of Michigan  
Department of Licensing and Regulatory Affairs**

**MICHIGAN PUBLIC SERVICE COMMISSION**

**REQUEST FOR PROPOSAL  
LOW-INCOME ENERGY ASSISTANCE GRANT**

<b>ESTIMATED TIMELINE</b>	
Issue Date	October 1, 2012
Inquiries Due	October 10, 2012
Proposals Due	October 15, 2012
Anticipated Start Date	December 4, 2012



**OCTOBER 1, 2012**

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**LOW-INCOME ENERGY ASSISTANCE GRANT  
REQUEST FOR PROPOSAL**

**PART I: GENERAL GRANT INFORMATION**

**I-A Statement of Purpose**

The purpose of this Request for Proposal (RFP) is to obtain proposals from organizations that will provide energy assistance to low-income customers confronted with energy shut-off and maintain or develop preventative programs to reduce the number of customers experiencing energy shut-off. Grants may be used to serve additional clients under existing eligibility guidelines, increase the amount of assistance available to eligible households, or revise existing eligibility guidelines to serve clients otherwise unable to obtain assistance through current programs. Eligible clients are low-income individuals and/or households whose income is at or below 200% of the federal poverty guidelines as determined by the U.S. Department of Health and Human Services.<sup>1</sup>

The approximate amount available for this Low-Income Energy Assistance (LIEA) Grant is \$27,700,000. It is anticipated that one or more grants will be awarded by the Michigan Public Service Commission in October 2012, and the grant period is expected to begin December 4, 2012 and end July 31, 2013.

The grant(s) awarded from this solicitation will support actual expenditures incurred within the grant period that are supported by source documentation (further defined in Section II-G, Accounting). This RFP provides interested parties with sufficient information to enable them to prepare and submit a proposal, budget, budget narrative, and timeline. Refer to Part V: Information Required from Applicant.

**I-B Eligibility Requirements**

To be eligible to receive a grant under this RFP, the applicant must be a nonprofit or public organization offering service to low-income residents in Michigan. For the purposes of this grant program, governmental agencies and educational institutions are considered public organizations.

**I-C Issuing Office and Funding Source**

This RFP is issued by the Michigan Public Service Commission (Issuing Office or Commission), an agency within the Department of Licensing and Regulatory Affairs (Department or DLARA). The Commission is the point of contact for this RFP for purposes of grant administration. The contact person at the Commission is:

Lois Gruesbeck, Grant Administrator  
Michigan Public Service Commission  
Management Services Division  
Telephone: 517-241-6167  
Email: [mpsc-grants@michigan.gov](mailto:mpsc-grants@michigan.gov)

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<sup>1</sup> <http://liheap.ncat.org/profiles/povertytables/FY2012/popstate.htm>

The funding source for this grant is Public Act 200 of the Michigan Public Acts of 2012. The Act appropriated \$59,900,000, on a one-time basis only, to the Michigan Department of Human Services for the provision of State emergency relief energy services. The Michigan Department of Human Services and the Michigan Department of Licensing and Regulatory Affairs entered into Interagency Agreement No. DLARA-13-IA-01 for the purpose of having the Michigan Public Service Commission administer a low-income energy assistance grant process on behalf of the Department of Human Services in the amount of approximately \$27,700,000.

#### **I-D Grant Award**

Grant award negotiations will be undertaken with the applicant(s) whose proposal shows the organization to be responsible, highly qualified, and capable of performing the project within the established timeline. It is expected that one or more grants will be awarded. The approximate amount available for these grants is \$27,700,000.

The grant(s) that may be entered into will be based on the RFP selection criteria provided in Part VI: Section Criteria and the objectives of the Commission.

#### **I-E Rejection of Proposals**

The State reserves the right to reject any and all proposals received as a result of this RFP.

#### **I-F Incurring Costs**

The State of Michigan is not liable for any cost incurred by an applicant prior to signing of a Grant Agreement.

#### **I-G Pre-Proposal Conference**

No pre-proposal conference will be held for this RFP. Inquiries should be submitted as outlined in Section I-H, Inquiries.

#### **I-H Inquiries**

Questions that arise as a result of this RFP must be submitted no later than **5:00 PM on October 10, 2012**. Inquiries may be submitted electronically to the Issuing Office at [mpsc-grants@michigan.gov](mailto:mpsc-grants@michigan.gov) or made in writing to the Grant Administrator at the address listed in Section I-C, Issuing Office and Funding Source. All inquiries should reference “2013 LIEA Grant.”

Inquiries will be responded to and posted on the Commission’s website at [www.michigan.gov/energygrants](http://www.michigan.gov/energygrants) under “Spotlight.”

#### **I-I Changes to the RFP**

Written answers to questions that change or substantially clarify the RFP will be provided to all prospective applicants on the Commission’s website at [www.michigan.gov/energygrants](http://www.michigan.gov/energygrants).

## **I-J Due Date**

To be considered for a grant award, a proposal must be received in the Issuing Office no later than **5:00 PM on October 15, 2012**. Proposals must reference “2013 LIEA Grant.” **Proposals must be submitted electronically to [mpsc-grants@michigan.gov](mailto:mpsc-grants@michigan.gov)**. A confirmation will be sent by the Issuing Office within 24 hours of receipt. If a confirmation is not received, applicant must contact the Grant Administrator identified in Section I-C, Issuing Office and Funding Source. Electronic submission of PDF documents cannot exceed 15 MB. **Mailed, hand delivered, and faxed proposals will not be accepted.**

## **I-K Proposals**

To be considered, applicants must submit a complete response to this RFP using the format specified in Part V: Information Required from Applicant. The proposal must be signed by an official authorized to bind the applicant to the provisions of this RFP. For this RFP, the proposal must remain valid for at least 90 days.

## **I-L Acceptance of Proposal Content**

The contents of this RFP and the proposal of the selected applicant(s) may become grant obligations if a grant award ensues. Failure of the selected applicant(s) to accept these obligations may result in cancellation of the award.

## **I-M Economy of Preparation**

Using the format provided in Part V: Information Required from Applicant, proposals should be prepared providing a straight-forward, concise description of the applicant’s ability to meet the requirements of the RFP. Emphasis should be on completeness and clarity of content.

## **I-N Prime Applicant Responsibilities**

The selected applicant(s) will be required to assume responsibility for all services offered in their proposal whether or not they possess them within their organization. Further, the State will consider the selected applicant(s) to be the sole point of contact with regard to grant matters, including payment of any and all charges resulting from the grant.

## **I-O Grant Payment Schedule**

Payment for any grant entered into as a result of this RFP will be made according to the following schedule:

An initial advance of 50% of the total grant award will be made to the selected applicant(s) after a Grant Agreement is fully executed. An advance of the remaining 50% of the total grant award will be provided in March 2013. 100% of the grant award will be advanced to the selected applicant(s) during the grant term.

Public Act 279 of 1984, MCL 17.52, states that the State shall take all steps necessary to assure that payment for goods or services is mailed within 45 days after receipt of the goods or

services, a complete invoice for goods or services, or a complete contract for goods or services, whichever is later.

**I-P News Releases**

News releases pertaining to this RFP or the service, study, or project to which it relates shall not be made without prior State approval and coordination with the Michigan Public Service Commission.

**I-Q Disclosure of Proposal Contents**

The information in all proposals and any grant resulting from the RFP are subject to disclosure under the Michigan Freedom of Information Act, 1976 PA 442, as amended, MCL 15.231, *et seq.*

**I-R Copyrighted Materials**

The selected applicant(s) shall agree to grant to the State a nonexclusive, irrevocable license to reproduce, translate, publish, use, and dispose of all copyrightable material developed as a result of the project.

## **PART II: GENERAL PROVISIONS**

### **II-A Project Changes**

The selected applicant(s) *must* obtain prior written approval for major project changes from the Grant Administrator.

### **II-B Record Retention**

The selected applicant(s) shall retain all financial records, supporting documents, statistical records, and all other pertinent records for a period of seven (7) years or greater as provided by law following the creation of the records or documents.

### **II-C Program Income**

To the extent that it can be determined that interest was earned on advances of funds, such interest shall be remitted to the grantor. All other program income shall either be added to the program budget and used to further eligible program objectives or deducted from the total program budget for the purpose of determining the amount of reimbursable costs. The final determination shall be made by the Grant Administrator.

### **II-D Share-in-Savings**

The grantor expects to share in any cost savings realized by the selected applicant(s). Therefore, final grantee reimbursement will be based on actual expenditures. Exceptions to this requirement must be approved in writing by the Grant Administrator.

### **II-E Order of Spending**

Unless otherwise required, the selected applicant(s) shall expend funds in the following order: (1) private or local funds, (2) federal funds, and (3) State funds. The selected applicant(s) is responsible for securing any required matching funds from sources other than the State.

### **II-F Purchase of Equipment**

The purchase of equipment not specifically listed in the budget must have prior written approval of the Grant Administrator. Equipment is defined as non-expendable personal property having a useful life of more than one year. Such equipment shall be retained by the selected applicant(s) unless otherwise specified at the time of approval.

### **II-G Accounting**

The selected applicant(s) shall adhere to the Generally Accepted Accounting Principles and shall maintain records which will allow, at a minimum, for the comparison of actual outlays with budgeted amounts. The selected applicant's overall financial management system must ensure effective control over and accountability for all funds received. Accounting records must be supported by source documentation including, but not limited to, balance sheets, general ledgers, time sheets and invoices. The expenditure of State funds shall be reported by line item and compared to the budget.

## **II-H Audit**

The selected applicant(s) agrees that the State may, upon 24-hour notice, perform an audit and/or monitoring review at the selected applicant's location(s) to determine if the selected applicant(s) is complying with the requirements of the Grant Agreement. The selected applicant agrees to cooperate with the State during the audit and/or monitoring review and produce all records and documentation that verifies compliance with the Grant Agreement requirements. The grantor may require the completion of an audit before final payment.

If selected applicant(s) is a recipient of Low-Income Energy Assistance Grant funds and receives \$100,000 or more in any 12-month period, then the selected applicant(s) is required to submit an audited financial report to the grantor within thirty (30) days after the completion of the audit; but no later than nine (9) months after the end of the grantee's fiscal year.

## **II-I Competitive Bidding**

The selected applicant(s) agrees that all procurement transactions involving the use of State funds shall be conducted in a manner that provides maximum open and free competition. When competitive selection is not feasible or practical, the selected applicant(s) agrees to obtain the written approval of the Grant Administrator before making a sole source selection. Sole source contracts should be negotiated to the extent that such negotiation is possible.

## **II-J Liability**

The State is not liable for any costs incurred by the selected applicant(s) before the start date or after the end date of the Grant Agreement. Liability of the State is limited to the terms and conditions of the Grant Agreement and the grant amount.

## **II-K Intellectual Property**

Unless otherwise required by law, all intellectual property developed using funds from the Grant Agreement, including copyright, patent, trademark and trade secret, shall belong to the selected applicant(s).

## **II-L Safety**

The selected applicant(s), all contractors, and subcontractors are responsible for insuring that all precautions are exercised at all times for the protection of persons and property. Safety provisions of all applicable laws and building and construction codes shall be observed. The selected applicant(s), contractors, and every subcontractor are responsible for compliance with all federal, State and local laws and regulations in any manner affecting the work or performance of the Grant Agreement and shall at all times carefully observe and comply with all rules, ordinances, and regulations. The selected applicant(s), all contractors and subcontractors shall secure all necessary certificates and permits from municipal or other public authorities as may be required in connection with the performance of the Grant Agreement.

## **II-M Indemnification**

### **(1) General Indemnification**

To the extent permitted by law, the selected applicant(s) shall indemnify, defend and hold harmless the State from liability, including all claims and losses, and all related costs and expenses (including reasonable attorneys' fees and costs of investigation, litigation, settlement, judgments, interest and penalties), accruing or resulting to any person, firm or corporation that may be injured or damaged by the selected applicant(s) in the performance of the Grant Agreement and that are attributable to the negligence or tortious acts of the selected applicant(s) or any of its subcontractors, or by anyone else for whose acts any of them may be liable.

### **(2) Employee Indemnification**

In any and all claims against the State, its departments, divisions, agencies, sections, commissions, officers, employees and agents, by any employee of the selected applicant(s) or any of its subcontractors, the indemnification obligation under the Grant Agreement shall not be limited in any way by the amount or type of damages, compensation or benefits payable by or for the selected applicant(s) or any of its subcontractors under worker's disability compensation acts, disability benefit acts or other employee benefit acts. This indemnification clause is intended to be comprehensive. Any overlap in provisions, or the fact that greater specificity is provided as to some categories of risk, is not intended to limit the scope of indemnification under any other provisions.

### **(3) Patent/Copyright Infringement Indemnification**

To the extent permitted by law, the selected applicant(s) shall indemnify, defend and hold harmless the State from and against all losses, liabilities, damages (including taxes), and all related costs and expenses (including reasonable attorneys' fees and costs of investigation, litigation, settlement, judgments, interest and penalties) incurred in connection with any action or proceeding threatened or brought against the State to the extent that such action or proceeding is based on a claim that any piece of equipment, software, commodity or service developed or supplied by the selected applicant(s) or its subcontractors, or the operation of such equipment, software, commodity or service, or the use or reproduction of any documentation provided with such equipment, software, commodity or service infringes any United States patent, copyright, trademark or trade secret of any person or entity, which is enforceable under the laws of the United States.

The selected applicant's duty to indemnify pursuant to this section continues in full force and effect, notwithstanding the expiration or early cancellation of the Grant Agreement, with respect to any claims based on facts or conditions that occurred before expiration or cancellation.

## **II-N Cancellation**

The State may terminate the Grant Agreement without further liability or penalty to the State, its departments, divisions, agencies, offices, commissions, officers, agents and employees for any of the following reasons:

(1) Termination for Cause

In the event that selected applicant(s) breaches any of its material duties or obligations under the Grant Agreement or poses a serious and imminent threat to the health and safety of any person, or the imminent loss, damage or destruction of any real or tangible personal property, the State may terminate the Grant Agreement immediately in whole or in part, for cause, as of the date specified in the notice of termination. In the event that the Grant Agreement is terminated for cause, in addition to any legal remedies otherwise available to the State by law or equity, selected applicant(s) shall be responsible for all costs incurred by the State in terminating the Grant Agreement, including but not limited to, State administrative costs, reasonable attorney's fees and court costs, and any reasonable additional costs the State may incur.

(2) Termination for Convenience

The State may terminate the Grant Agreement for its convenience, in whole or part, if the State determines that such a termination is in the State's best interest. Reasons for such termination shall be left to the sole discretion of the State and may include, but not necessarily be limited to (a) the State no longer needs the services or products specified in the Grant Agreement, (b) relocation of office, program changes, changes in laws, rules, or regulations make implementation of the services no longer practical or feasible. The State may terminate the Grant Agreement for its convenience, in whole or in part, by giving selected applicant(s) written notice at least thirty (30) days prior to the date of termination. If the State chooses to terminate this Grant Agreement in part, the budget shall be equitably adjusted to reflect those reductions.

(3) Non-Appropriation

Selected applicant(s) acknowledges that continuation of the Grant Agreement is subject to appropriation or availability of funds for the Grant Agreement. If funds to enable the State to effect continued payment under the Grant Agreement are not appropriated or otherwise made available (including the federal government suspending or halting the program or issuing directives preventing the State from continuing the program), the State shall have the right to terminate this Grant Agreement, in whole or in part, at the end of the last period for which funds have been appropriated or otherwise made available by giving written notice of termination to selected applicant(s). The State shall give selected applicant(s) at least thirty (30) days advance written notice of termination for non-appropriation or unavailability (or such time as is available if the State receives notice of the final decision less than thirty (30) days before the funding cutoff). In the event of a termination under this section, the selected applicant(s) shall, unless otherwise directed by the State in writing, immediately take all reasonable steps to terminate its operations and to avoid and/or minimize further expenditures under the Grant Agreement.

(4) Criminal Conviction

The State may terminate the Grant Agreement immediately and without further liability or penalty in the event selected applicant(s), an officer of selected applicant(s), or an owner of a 25% or greater share of selected applicant(s) is convicted of a criminal offense incident to the application for, or performance of, a State, public or private contract or subcontract or grant; convicted of a criminal offense, including any of the following: embezzlement, theft, forgery, bribery, falsification or destruction of records, receiving stolen property, attempting to influence a public employee to breach the ethical conduct standards for State of Michigan employees;

convicted under State or federal antitrust statutes; or convicted of any other criminal offense which in the sole discretion of the State, reflects upon selected applicant's business integrity.

(5) Approvals Rescinded

The State may terminate the Grant Agreement without further liability or penalty in the event any final administrative or judicial decision or adjudication disapproves a previously approved request for purchase of personal services pursuant to Constitution 1963, Article 11, § 5, and Civil Service Rule 7-1. Termination may be in whole or in part and may be immediate as of the date of the written notice to selected applicant(s) or may be effective as of the date stated in such written notice.

**II-O No State Employees or Legislators**

No member of the Legislature or Judiciary of the State of Michigan or any individual employed by the State shall be permitted to share in the Grant Agreement, or any benefit that arises from the Grant Agreement.

**II-P Non-Discrimination**

In the performance of the Grant Agreement, selected applicant(s) agrees not to discriminate against any employee or applicant for employment, with respect to his or her hire, tenure, terms, conditions or privileges of employment, or any matter directly or indirectly related to employment, because of race, color, religion, national origin, ancestry, age, sex, height, weight, marital status, physical or mental disability. Selected applicant(s) further agrees that every subcontract entered into for the performance of the Grant Agreement will contain a provision requiring non-discrimination in employment, as here specified, binding upon each subcontractor. This covenant is required pursuant to the Elliott Larsen Civil Rights Act, 1976 PA 453, MCL 37.2101, *et seq.* and the Persons with Disabilities Civil Rights Act, 1976 PA 200, MCL 37.1101, *et seq.*, and any breach of this provision may be regarded as a material breach of the Grant Agreement.

**II-Q Unfair Labor Practices**

Pursuant to 1980 PA 278, MCL 423.231, *et seq.*, the State shall not award a grant or subcontract to an employer whose name appears in the current register of employers failing to correct an unfair labor practice compiled pursuant to section 2 of the Act. This information is compiled by the United States National Labor Relations Board. A selected applicant, in relation to the Grant Agreement, shall not enter into a contract with a subcontractor, manufacturer, or supplier whose name appears in this register. Pursuant to section 4 of 1980 PA 278, MCL 423.324, the State may void any Grant Agreement if, subsequent to award of the Grant Agreement, the name of grantor as an employer or the name of the subcontractor, manufacturer or supplier of grantor appears in the register.

**II-R Certification Regarding Debarment**

The selected applicant(s) certifies, by signature to the Grant Agreement, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Grant Agreement by any federal or State

department or agency. If the selected applicant(s) is unable to certify to any portion of this statement, the selected applicant(s) shall attach an explanation to the Grant Agreement.

## **II-S Illegal Influence**

(1) The selected applicant(s) certifies, to the best of his or her knowledge and belief that:

(a) No federal appropriated funds have been paid nor will be paid, by or on behalf of the selected applicant(s), to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan or cooperative agreement.

(b) If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with this grant, the selected applicant(s) shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.

(c) The selected applicant(s) shall require that the language of this certification be included in the award documents for all grant or subcontracts and that all subrecipients shall certify and disclose accordingly.

The State has relied upon this certification as a material representation. Submission of this certification is a prerequisite for entering into this Grant Agreement imposed by 31 USC § 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

(2) The selected applicant(s) certifies, to the best of his or her knowledge and belief that no State funds have been paid nor will be paid, by or on behalf of the selected applicant(s), to any person for influencing or attempting to influence an officer or employee of any State agency, a member of the Legislature, or an employee of a member of the Legislature in connection with the awarding of any State contract, the making of any State grant, the making of any State loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any State contract, grant, loan or cooperative agreement.

## **II-T Governing Law**

The Grant Agreement shall in all respects be governed by, and construed in accordance with, the substantive laws of the State of Michigan without regard to any Michigan choice of law rules that would apply the substantive law of any other jurisdiction to the extent not inconsistent with, or pre-empted by federal law.

## **II-U Compliance with Laws**

Selected applicant shall comply with all applicable State, federal, and local laws and ordinances (“Applicable Laws”) in performing this Grant Agreement.

## **II-V Jurisdiction**

Any dispute arising from the Grant Agreement shall be resolved in the State of Michigan. With respect to any claim between the parties, selected applicant(s) consents to venue in Ingham County, Michigan, and irrevocably waives any objections it may have to such jurisdiction on the grounds of lack of personal jurisdiction of such court or the laying of venue of such court or on the basis of forum non conveniens or otherwise. Contractor agrees to appoint agents in the State of Michigan to receive service of process.

## **II-W Assignment**

Selected applicant(s) shall not have the right to assign the Grant Agreement, or to assign or delegate any of its duties or obligations under the Grant Agreement, to any other party (whether by operation of law or otherwise), without the prior written consent of the grantor. Any purported assignment in violation of this section shall be null and void.

## **II-X Entire Grant Agreement**

The Grant Agreement, including any Attachments, will constitute the entire Grant Agreement between the parties with respect to the grant and supersedes all prior Grant Agreements, whether written or oral, with respect to such subject matter.

## **II-Y Independent Contractor Relationship**

The relationship between the State and selected applicant(s) is that of client and independent contractor. No agent, employee, or servant of selected applicant(s) or any of its subcontractors shall be or shall be deemed to be an employee, agent or servant of the State for any reason. Selected applicant(s) will be solely and entirely responsible for its acts and the acts of its agents, employees, servants and subcontractors during the performance of the Grant Agreement.

## **II-Z Conflicts**

In the event of a conflict between the terms of the Grant Agreement and any federal or State laws or regulations, the federal or State laws or regulations will supersede any contrary term contained in the Grant Agreement.

## **PART III: WORK STATEMENT**

### **III-A Purpose**

The purpose of this Request for Proposal (RFP) is to obtain proposals from organizations that will provide energy assistance to low-income customers confronted with energy shut-off and maintain or develop preventative programs to reduce the number of customers experiencing energy shut-off. Grants may be used to serve additional clients under existing eligibility guidelines, increase the amount of assistance available to eligible households, or revise existing eligibility guidelines to serve clients otherwise unable to obtain assistance through current programs. Eligible clients are low-income individuals and/or households whose income is at or below 200% of the federal poverty guidelines as determined by the U.S. Department of Health and Human Services.

Preference in awarding the grants will be given to organizations that can provide energy assistance to multiple counties, organizations with a proven record in distributing energy assistance to low-income residents, organizations with an existing administrative structure that can handle additional distribution activities, and organizations that possess the ability to coordinate the provision of assistance with other service providers.

### **III-B Problem Statement**

As Michigan's economy struggles to improve, low-income households continue to face utility shut-offs for nonpayment of bills or the inability to purchase deliverable fuels. Compared with an estimated 5% for other households, low-income households (100% of poverty) may spend up to 25% of their income on household energy bills. Any increase in energy costs can have a significant impact on low-income household budgets. Current funding for energy assistance has been reduced significantly, falling considerably short of meeting the needs of low-income households. Existing assistance eligibility guidelines include only households with the greatest needs.

### **III-C Objectives**

(1) Provide statewide energy assistance to low-income customers confronted with energy shut-off and maintain or develop preventative programs to reduce the number of customers experiencing energy shut-off by utility companies and deliverable fuels providers.

(2) Supplement existing energy assistance programs, enabling the selected applicant(s) to increase the level of assistance provided to clients, serve more clients at the existing assistance levels and under current eligibility guidelines, and/or expand the eligibility requirements to include low-income households not eligible under current eligibility guidelines.

### **III-D Tasks**

- (1) Provide energy assistance through the organization's existing administrative framework.
- (2) Coordinate availability of this low-income energy assistance program with other services currently provided by the selected applicant(s).
- (3) Determine if the clients meet eligibility requirements by utilizing the criteria outlined in Appendix A to this RFP.
- (4) Provide assistance to eligible clients within the established timeline in accordance with the Grant Agreement.
- (5) Observe a 30 day standard to promptness in the process of eligibility determination and benefit issuance for complete and timely filed applications.
- (6) Inform all clients of their right to an informal conference in the event applications for energy assistance are denied.
- (7) Provide outreach services that assure households with elderly and/or handicapped individuals receive priority attention.
- (8) Coordinate the proposed project with other agencies that provide energy assistance, weatherization, and education focused on reducing energy consumption.
- (9) Coordinate energy assistance payments with utility providers, cooperatives, distributors of deliverable fuels, and other energy assistance providers to more efficiently serve the needs of low-income clients.
- (10) Establish and implement quality control measures to ensure funds are used for the intended purpose.
- (11) Provide reports and materials as outlined in Section IV-B, Monitoring and Reporting Program Performance.
- (12) Objectively evaluate the success of the program.

## PART IV: PROJECT CONTROL AND REPORTS

### IV-A Project Control

The selected applicant(s) will carry out the project under the review of the Grant Administrator. The Michigan Department of Licensing and Regulatory Affairs Grant Administrator shall have final authority over the Grant Agreement.

Along with continuous liaison with the selected applicant(s), the Grant Administrator will meet as needed with the selected applicant(s)'s contact person for the purpose of reviewing progress and providing necessary guidance to the selected applicant(s) in solving problems that arise.

Prior to executing any changes to the scope of the project and/or budget, the selected applicant(s) must inform the Grant Administrator in writing outlining the proposed changes. Changes to the Grant Agreement, including the budget, can only be made during the term of the grant.

### IV-B Monitoring and Reporting Program Performance

The selected applicant(s) will be required to assume responsibility for monitoring and reporting. The selected applicant(s) shall monitor performance to assure that time schedules are met and projected work by time period is accomplished. If a required report's due date falls on a weekend or holiday, the report may be delivered the following business day.

<b>Reporting Schedule</b>		
<b>Report Due Date</b>	<b>Report Type</b>	<b>Period Covered</b>
January 15, 2013	Monthly Financial Status Report	December 4, 2012 – December 31, 2012
February 15, 2013	Monthly Financial Status Report	January 1, 2013 – January 31, 2013
March 15, 2013	Monthly Financial Status Report	February 1, 2013 – February 28, 2013
April 15, 2013	Interim Project Status Report	December 4, 2012 – March 31, 2013
April 15, 2013	Interim Financial Status Report	December 4, 2012 – March 31, 2013
May 15, 2013	Monthly Financial Status Report	April 1, 2013 – April 30, 2013
June 15, 2013	Monthly Financial Status Report	May 1, 2013 – May 31, 2013
July 15, 2013	Monthly Financial Status Report	June 1, 2013 – June 30, 2013
August 31, 2013	Final Project Status Report	December 4, 2012 – July 31, 2013
August 31, 2013	Final Financial Status Report	December 4, 2012 – July 31, 2013

(1) **Monthly Financial Status Reports.** The selected applicant(s) shall submit a signed and dated FSR to the Grant Administrator indicating the amount of funds expended in each line item category of the budget. Selected applicant(s) are required to submit only a completed FSR; documentation supporting the reported expenditures is **not** required monthly. Monthly FSRs will be completed on Form C-108, which will be provided to the selected applicant(s) by the Grant Administrator (Appendix B).

(2) **Interim Project Status Report.** The selected applicant(s) shall submit an interim project status report to the Grant Administrator no later than April 15, 2013 for the period

December 4, 2012 through March 31, 2013. The report should include the following information at a minimum:

- (a) **Project Identification**  
Name of selected applicant, grant number, and dates of current reporting period.
- (b) **Project Milestones**  
Percent (%) completion of the project objectives.
- (c) **Project Progress**  
Brief outline of the work accomplished during the reporting period and the work to be completed during the subsequent reporting period(s).
- (d) **Performance Measures**
  - (i) Number of TANF<sup>2</sup> eligible energy assistance applications.
  - (ii) Number of non-TANF eligible energy assistance applications.
  - (iii) Number of TANF eligible, unduplicated households receiving assistance and amount of assistance received. (“Unduplicated household” refers to a household that received assistance not more than once for the same energy type.)
  - (iv) Number of non-TANF eligible, unduplicated households receiving assistance and amount of assistance received.
  - (v) Income levels of households receiving assistance, and the amount of assistance provided.
  - (vi) Number of households that were denied assistance, and income levels of those households.
  - (vii) Number of households receiving assistance that contain at least one member age 60 or older.
  - (viii) Number of households receiving assistance that contain at least one member age two or younger.
  - (ix) Number of households receiving assistance that contain at least one member age three, four or five.
  - (x) Number households receiving assistance that contain at least one handicapped member.
  - (xi) Number of households receiving assistance that contain a pregnant woman.
  - (xii) Energy assistance payments by type (e.g. electric, gas, and deliverable fuel) and average payment for each.

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<sup>2</sup> Temporary Assistance for Needy Families (TANF) eligible clients are low-income families with: (a) a related child under age 18; or (b) an unemancipated child, age 18 or under, who is a full-time high school student living with an adult caretaker; or (c) a pregnant woman living in the home.

(xiii) Number of counties served and number of clients served by county.

(e) **Noteworthy Accomplishments**

Identify and describe any milestones reached or noteworthy accomplishments completed during the period.

(f) **Delays**

Brief description of problems or delays, real or anticipated, which should be brought to the attention of the Grant Administrator.

(g) **Project Deviations**

Statement concerning any significant deviation from the previously agreed-upon work plan developed in Part V: Information Required from Applicant.

(h) **Attachments and Other Materials**

Provide project materials developed and implemented during the reporting period (e.g. newspaper articles, newspaper advertisements, forms, brochures, announcements, studies, reports, analyses, audits, etc.).

(i) **Eligibility Verification Documentation**

Provide copies of eligibility verification documents collected from at least five eligible clients that were provided energy assistance during the reporting period.

(3) **Interim Financial Status Reports (FSR).** The selected applicant(s) shall submit a signed and dated FSR to the Grant Administrator indicating the amount of funds expended in each line item category of the budget no later than April 15, 2013 for the reporting period December 4, 2012 through March 31, 2013. Selected applicant(s) must provide documentation supporting all expenditures reported on the FSR. Financial Status Reports will be completed on Form C-108, which will be provided to the selected applicant(s) by the Grant Administrator (Appendix B).

(4) **Final Project Report.** The selected applicant(s) shall submit a draft of the final project report by August 31, 2013 for the period December 4, 2012 through July 31, 2013. After the Grant Administrator has determined the completeness and factual accuracy of the report, the selected applicant(s) shall submit a final copy of the report to the Grant Administrator. The final project report shall include the following information at a minimum:

(a) **Project Identification**

Name of selected applicant, grant number, and dates of reporting period.

(b) **Project Milestones**

Percent (%) completion of the project objectives.

(c) **Project Implementation**

Summary of the project implementation plan and any deviations from the original plan as proposed.

- (d) **Performance Measures**
  - (i) Number of TANF eligible energy assistance applications.
  - (ii) Number of non-TANF eligible energy assistance applications.
  - (iii) Number of TANF eligible, unduplicated households receiving assistance and amount of assistance received. (“Unduplicated household” refers to a household that received assistance not more than once for the same energy type.)
  - (iv) Number of non-TANF eligible, unduplicated households receiving assistance and amount of assistance received.
  - (v) Income levels of households receiving assistance, and the amount of assistance provided.
  - (vi) Number of households that were denied assistance, and income levels of those households.
  - (vii) Number of households receiving assistance that contain at least one member age 60 or older.
  - (viii) Number of households receiving assistance that contain at least one member age two or younger.
  - (ix) Number of households receiving assistance that contain at least one member age three, four or five.
  - (x) Number households receiving assistance that contain at least one handicapped member.
  - (xi) Number of households receiving assistance that contain a pregnant woman.
  - (xii) Energy assistance payments by type (e.g. electric, gas, and deliverable fuel) and average payment for each.
  - (xiii) Number of counties served and number of clients served by county.
- (e) **Noteworthy Accomplishments**  
Accomplishments and problems experienced while carrying out project activities.
- (f) **Coordinated Efforts**  
Coordinated efforts with other organizations to complete the project.
- (g) **Project Impacts**  
Impacts, anticipated and unanticipated, experienced as a result of project implementation.
- (h) **Next Steps**  
Any experience in applying the project products and anticipated “next steps.”

- (i) **Financial Summary**  
Summary of financial expenditures of grant funds; include the basis or reason for any discrepancies.
- (j) **Evaluation**  
Evaluate the success of the program according to the criteria developed in Section V-E(6).
- (k) **Attachments and Other Materials**  
Provide project materials developed and implemented that were not provided in project status reports (e.g. newspaper articles, newspaper advertisements, forms, brochures, announcements, studies, reports, analyses, audits, etc.)
- (l) **Eligibility Verification Documentation**  
Provide copies of eligibility verification documents collected from at least five eligible clients that were provided energy assistance during the reporting period.

(5) **Final Financial Status Report (FSR).** The selected applicant(s) shall submit a signed and dated final FSR to the Grant Administrator indicating the amount of funds expended in each line item category of the budget by August 31, 2013 for the period December 4, 2012 through July 31, 2013. Selected applicant(s) must provide documentation supporting all expenditures reported on the FSR.

## **PART V: INFORMATION REQUIRED FROM APPLICANT**

Grant proposals must be typed in 12 point, Times New Roman font with no more than 40 numbered, double-spaced pages. The page count includes any cover page and/or attachments. Electronically submitted proposals must have a scanned signature or e-signature and cannot exceed 15 MB.

Applicant must provide responses to each section below. Please follow the format identified by stating the section number and title followed by the response. Be as descriptive as possible and answer each question in its entirety; some questions have multiple components. Questions that do not apply should be answered "NA."

### **V-A Identification of Organization**

State the full name and address of the organization, the organization's federal identification number, and the organization's telephone and fax number.

### **V-B Authorized Negotiator**

State the name of one (1) contact person and his/her telephone number, fax number, and electronic mail address. The contact person must be authorized to be the negotiator for the proposed Grant Agreement with the State.

### **V-C Method for Addressing the Problem**

State in succinct terms the applicant's proposed method for addressing the problem presented in Section III-B, Problem Statement. Describe any significant obstacles the applicant has had meeting the needs of low-income clients while providing energy assistance.

### **V-D Management Summary**

(1) Describe management procedures that will be used by the organization to complete the proposed project.

(2) Explain how staff will be trained to handle additional distribution activity and how staff will be monitored.

(3) Describe the organization's quality control measures.

(4) Describe the organization's internal control over accounting, identify the type of accounting system/software the organization will use to account for grant funds, and indicate whether internal and external audits of the organization's operations are performed on an annual basis.

## **V-E Work Plan**

(1) Provide a clear and concise plan for providing energy assistance to low-income clients. The plan should include the following components at a minimum:

- (a) Identify objectives and milestones for the proposed project.
- (b) Indicate the type of utility or deliverable fuel services that are eligible for the project (e.g. electric, gas, propane, corn, wood pellets, etc.).
- (c) Describe how applications will be taken and low-income client eligibility will be determined and verified (client verification must be consistent with the criteria outlined in Appendix A to this RFP). Include the organization's household income eligibility limit in terms of percentage of poverty. Identify any cap on individual payments, how many times a client may receive assistance during a grant term, and any extenuating circumstances that are considered when processing applications.
- (d) Forecast the number of assistance payments that will be made for eligible low-income clients, estimate the average payment per client, and project the geographic area to be covered. If the selected applicant does not intend to provide services statewide, identify the counties that will be served. Provide the factors used to determine the amount of funds distributed to each county in the organization's coverage area.
- (e) Explain how payments will be made on behalf of the client. Identify the average application-to-payment time (i.e. how long it takes for payments to be processed after the application is started). Payments must be in the form of a voucher or direct payment to the utility provider, cooperative, or distributor of deliverable fuel.

(2) Explain how this plan will be coordinated with other services offered by the applicant.

(3) Describe the outreach services that will be provided that will assure households with elderly and/or handicapped individuals receive priority attention.

(4) Explain how the applicant coordinates with other agencies that provide weatherization and education focused on reducing energy consumption.

(5) Provide a timeline for completing the planned activities and tasks for the proposed project during the grant period. A month-by-month format shall include at a minimum:

- (a) The estimated number of clients to be served.
- (b) The estimated amount of funds to be spent for each client.
- (c) The required dates for all reports due to the Issuing Office

Selected applicant(s) assumes the responsibility for ensuring the grant project is performed within the established timeline.

(6) Describe how the low-income energy assistance program will be evaluated. The program should be evaluated against the work plan developed above and the objectives, tasks, and requirements outlined throughout the RFP. The applicant should develop a clear and concise evaluation plan that will provide for an honest and objective assessment of the successes and failures of the project. The evaluation should have both quantitative and qualitative components.

#### **V-F Current and Prior Experience and Funding Disclosure**

Current and prior experience in administering energy assistance programs for low-income residents is important to the selection process. Proposals submitted should include:

(1) A description of the organization's experience in conducting the type of work proposed. Include current activities and activities for the previous three (3) years. Include project results.

(2) If applicant received a similar grant award from the State of Michigan in prior years for the type of project proposed, provide a summary of project accomplishments, including number of clients served, noteworthy accomplishments, and problems encountered while carrying out grant responsibilities. Include a plan for addressing and resolving past problems.

(3) Current funding source(s) and the level of funding for the current year and the previous three (3) years.

(4) Selected applicant(s) must provide a copy of the organization's most recent audited financial statement.

#### **V-G Personnel**

Selected applicant(s) must be able to staff a project team that clearly possesses talent and experience in providing energy assistance to low-income clients. In the narrative, identify the authorized contact person and key personnel to be involved with this project by name and title and provide a brief summary of their experience, qualifications, and the work to be performed.

Include a detailed organizational chart including names and titles of all individuals that will contribute to the project.

## V-H Budget

To enable the Issuing Office to evaluate all project costs, applicants shall submit a proposed budget and a corresponding separate budget narrative. The budget must follow the format outlined in Appendix C. Include only MPSC grant funds in the budget (Appendix C); do not include matching, leveraged, cost share or any other type of supplemental funds. The budget narrative must identify the budget line item and number, provide a detailed description for each line, and include individual unit prices.

Selected applicant(s) will be required to provide supporting documentation for all grant expenditures incurred during the term of the grant. Accounting records must be supported by source documentation including, but not limited to, general ledgers, time sheets, payroll registers, invoices, check copies and bank statements, or cancelled checks. Expenses will be verified based on actual expenditures incurred within the grant period that are supported by source documentation, not budgeted amounts.

Total administrative costs included in the budget may not exceed 10% of the total grant award. Administrative costs include personnel; supplies, materials and equipment; contractual services; travel; other expenses and indirect costs.

(1) **Budget Changes** – Changes in the budget of less than 5% of the total line item amount do not require prior written approval, but selected applicant(s) must provide notice to the Grant Administrator. The allowable transfer should be calculated as 5% of the smaller total line item the funds are being transferred between.

Changes in the budget equal to or greater than 5% of the total line item amount will be allowed only upon prior review and written approval by the Grant Administrator and the Department. A formal grant amendment must be signed by both the grantor and grantee.

(2) **Disallowed Costs** – disallowed costs include but are not limited to the following: sick pay, vacation pay, holiday pay, bonuses, overtime, tuition reimbursement/remission, vehicle allowance, seminars, conferences, meetings, subscriptions, dues and memberships.

The proposed budget shall display four (4) headings identified as the: Line Item, Budget Category, MPSC Share, and Total. The budget line items that need to be included, at a minimum, are listed below. The budget should reflect the best estimate of actual costs. Refer to the budget example in Appendix C.

(3) **Personnel** – include the name and job title for each staff position to be paid for by the grant. Time sheets and payroll registers must be submitted and hours worked must be grant related. Please provide the number of hours per week a full time employee of the organization is expected to work.

Fringe benefits may not exceed 33% ( $\frac{1}{3}$ ) of the employee's salary. Fringe benefits will be reimbursed based on actual expenditures up to 33%, not on budgeted amounts. Allowable benefits include: health, dental, and optical insurance, employer-paid Social Security and Medicare tax, Michigan and Federal unemployment tax, and other miscellaneous fringe benefits (life insurance, long- and short-term disability insurance, worker's compensation, and retirement program contributions up to 4%). Applicants must provide details on the organization's method

of calculating fringe benefit expenses that will be charged to the grant including whether fringe benefits are calculated on an annualized basis or based on the length of the grant term. See Appendix D for a sample fringe benefit load factor calculation.

The budget narrative should include a description of the work to be performed by each individual; the estimated hours to be worked; actual pay rate; the fringe benefit percentage being charged to the grant for each employee; the percentage of the employee's time allocated to the grant; whether each employee is salaried-exempt, salaried-non-exempt or hourly; and any other applicable information related to the individual's duties and responsibilities in connection with this grant.

Independent contractors (i.e. individuals receiving a Form 1099) should be placed under the **Contractual Services** budget category. Only employees on the selected applicant's payroll should be included in the Personnel budget category.

(4) **Supplies, Materials, & Equipment** – specify item(s) and cost. The budget narrative should include the anticipated cost of each item, a detailed explanation of the item's purpose, and how it relates to the project being funded. Be as detailed as possible.

(5) **Contractual Services** – must be competitively bid. Individuals that are independent contractors (Form 1099) must be placed under **Contractual Services**. When competitive selection is not feasible or practical, the selected applicant(s) agrees to obtain the written approval of the Grant Administrator before making a sole source selection. Selected applicant(s) must provide a copy of contracts, memoranda of understanding or agreements signed by selected applicant(s) and contractor(s).

Selected applicant(s) assumes responsibility to select subcontractors on a competitive basis. A minimum of three (3) bids must be solicited and proposals must include, at a minimum: (1) name of selected applicant(s), grant number, and grant period; and (2) the type, number, and description of projects as described in the proposal.

Selected applicant(s) must provide the Grant Administrator with the solicitation, list of vendor responses (including amounts), and name of the selected vendor. Selected applicant(s) must maintain bids on file at their place of business according to Section II-B, Record Retention. The Grant Administrator will reserve the right to request a copy of all bids for services that are competitively bid.

The selected applicant(s) must award the project to the lowest bid unless the Grant Administrator has given prior written approval for selection of a higher bid. Selected applicant(s) must provide a written justification for the selection of a higher bid. When awarding subcontracts, the selected applicant(s) shall ensure that preference is given to products manufactured in or services offered by Michigan-based firms.

(6) **Travel** – The State will reimburse for mileage, lodging, and meals. See Appendix E for current State travel rates. Meals and lodging must be supported by itemized, legible receipts and reasons for travel. Itemized meals receipts must include a list of each item purchased; receipts for payments made by credit card that are not itemized will not be accepted. Mileage must be supported by travel log(s) (Appendix F) with beginning and ending addresses, mileage total, and reason for travel. Out-of-state travel must be directly related to the grant

project and approved by the Grant Administrator prior to travel. Travel expenses listed in the travel budget category are strictly for individuals listed on the budget under Personnel. Per diem payments and alcoholic beverage reimbursements are not allowed.

The use of a rental car in lieu of a personal car is allowed. Mileage at the state rate, which includes the cost of gas and all rental car related expenses will be reimbursed up to the allowed reimbursement as if a personal car had been used, e.g., if a rental car is driven 400 miles the selected applicant will be reimbursed \$156.00 (400 miles x .390 per mile).

(7) **Other Expenses** –This category is for use by organizations charging a per-case fee for work performed by subunits or internal agencies within the organization that do not require a competitive bid, i.e. contract, memorandum of understanding or any other type of signed agreement.

(8) **Direct Assistance** – indicate the approximate number of households to be assisted and the estimated average payment. The only expense to be included in this budget category is for payments made directly to utility providers, cooperatives and distributors of deliverable fuel to provide energy assistance for low-income households. Direct assistance must total at least 90% of the total grant award.

(9) **Indirect Costs** – indirect costs are costs not directly or specifically related to the grant program. Indirect costs are costs of administering the organization and must be spread over a number of products, services, or grant programs proportionately. Examples include office supplies, utilities, rent, maintenance and repair, insurance, accounting and bookkeeping services, and legal services. Non-cash expenses like depreciation, amortization, and depletion are not allowable indirect costs under this grant.

Selected applicant(s) will be reimbursed for its proportional share of indirect costs. This means the Commission should be allocated a portion of the selected applicant's indirect costs and **not** 100% of the organization's total indirect cost.

Indirect costs should be displayed on the face of the budget on a single line item and the indirect rate should be rounded to four (4) decimal places. The budget narrative should contain a list of indirect costs, how the selected applicant(s) determined its indirect costs, and the percentage rate calculation for reimbursable indirect costs. Selected applicant(s) are not required to provide documentation supporting indirect costs, however documentation verifying the costs must be retained by the selected applicant(s).

(10) Each budget category should have a subtotal displaying the total anticipated amount to be expended, and the budget should include a subtotal for total direct project costs and a sum of total project costs.

(11) After grants are awarded by the Commission, modifications of proposals and budgets may be necessary. If the Commission does not award the total amount requested in the original proposal, selected applicant(s) will be required to submit a revised proposal, budget and budget narrative for the purpose of entering into a Grant Agreement. New line items to the revised budget are not allowed.

(12) Selected applicant(s) assumes the responsibility of ensuring all unexpended grant funds are returned to the State of Michigan at the end of the grant period. Failure to do so may render selected applicant(s) ineligible for future grant awards and/or subject to legal action.

(13) Selected applicant(s) may not commingle grant award funds with current or future grant awards. All funding sources must be managed and accounted for separately.

(14) If a utility provider, cooperative or distributor of deliverable fuel refunds an assistance payment to a selected applicant or an agency working on its behalf during the grant term, the refund may be used to serve additional eligible clients during the grant term. However, if a utility providers, cooperative or distributor of deliverable fuel refunds an assistance payment to a selected applicant or an agency working on its behalf after the grant term has ended, the refund must be returned to the State of Michigan. The refunded money cannot be used to provide services to additional eligible customers. Any and all refunds received after the end date of the grant term must be returned to the State of Michigan.

**V-I Additional Information and Comments**

Include in this section any other information that is believed to be pertinent but not specifically requested elsewhere in this RFP.

**V-J Certification of Proposal**

Please sign the proposal and include the following language:

I certify that all information contained in the proposal is true to the best of my knowledge and belief, and that the organization is in compliance and agreement with all sections of the Request for Proposal.

Certified by: \_\_\_\_\_  
Authorized Signatory and Title  
Name of Organization

## **PART VI: SELECTION CRITERIA**

All proposals received shall be subject to an evaluation by the Issuing Office. The evaluation will be conducted to select an organization(s) to perform the proposed grant project within the established timeline.

All proposals will receive an initial screening to ensure that the eligibility criteria are met. Proposals failing to meet the eligibility requirements described in Section I-B will be rejected automatically. Proposals meeting the eligibility requirements will be evaluated according to the selection criteria below

Total points equal 100.

### **1. General (7 points)**

- (a) Did applicant follow the format identified in Part V: Information Requested from Applicant? (2 points)
- (b) Did applicant provide a response to each section contained in Part V: Information Requested from Applicant? (5 points)

### **2. Experience and financial stability of organization (18 points):**

- (a) Does the applicant have an existing structure for providing energy assistance to low-income clients, that can handle additional distribution activities? (6 points)
- (b) Does the organization have a proven record in distributing energy assistance to low-income residents? (6 points)
- (c) Does the applicant appear to be financially stable based on information provided in response to Sections V-D: Management Summary and V-F: Current and Prior Experience and Funding Disclosure? (6 points)

### **3. Management summary (15 points):**

- (a) Is a Project Manager identified? (5 points)
- (b) Is an individual responsible for financial management and reporting identified? (5 points)
- (c) Does the applicant provide a summary of how the proposed project will be monitored to ensure funds are used for the intended purpose, project milestones and timelines are met, and quality assurance standards are followed? (5 points)

### **4. Work plan (40 points):**

- (a) Are the applicant's eligibility guidelines consistent with the requirements outlined in Appendix A to this RFP? (10 points)
- (b) Is the plan outlined in Section V-E, Work Plan detailed, concise, and compatible with the objectives and tasks outlined in the RFP? (30 points)

**5. Budget (20 points):**

- (a) Is a budget provided that includes reasonable and detailed budget line items as requested? (5 points)
- (b) Is a budget narrative provided that includes reasonable and detailed explanations for the corresponding budget lines? (5 points)
- (c) Does Direct Assistance total at least 90% of the total grant award? (10 points)

## Appendix A

Agencies (grantees) **MUST** keep copies of documents used to verify identity, residency, and income. The following is a list of the minimum information each agency (grantee) must collect, verify, copy, and retain.

### Agency (grantee) Information

1. Date of verification
2. Name of agency (grantee) representative
3. Agency (grantee) representative signature and date

### Applicant Information

1. Full Name
2. Social Security Number<sup>1</sup>
3. Address
4. City and Zip Code
5. County
6. Birth date

### Applicant Questionnaire

1. Household residents:
  - a. # Children ( $\leq 2$ )
  - b. # Children (3, 4 or 5)
  - c. # Adults (18–59)
  - d. # Seniors ( $\geq 60$ )
2. Total Household income:

Total household income is the summation of the income of each member of the household; income must be verified using third party documentation, which may include, but is not limited to: Wages (W-2); Federal tax forms (1040, 1040EZ, etc.); Michigan state tax forms (MI-1040, etc.); unemployment statements/letters; social security statements/letters; pension statements/letters; workman’s compensation statements/letters; alimony or spousal support statements/letters; disability statements/letters; interest, annuities, or dividends statements/letters.
3. Is the client’s income at or below the applicable level (e.g. 200% of federal poverty levels)?
4. Was the client prescreened by DHS?  
Keep a copy of the decision letter from DHS.
5. Has the client received energy assistance services from the agency (grantee) in the past?
6. Has the client received energy assistance from any agency in last 12 months?  
If yes to either of the above questions, document information for the prior services, including the date, assist amount (if applicable), utility (if applicable), and agency.

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<sup>1</sup> If social security numbers are not used as a way to document and verify identity, agencies (grantees) must provide written documentation to the State detailing the alternative method for verifying identity. Client social security numbers must **not** be provided to the State.

7. Reasons for assistance, which may include, but are not limited to:
  - a. Low-income and not SER eligible
  - b. DHS/other agency cannot pay the entire bill
  - c. Emergency need/shut-off notice (must retain copy/documentation)
  - d. Job loss
  - e. Medical hardship
  - f. Other (needs explanation)
8. Document the utility name, account number, and amount to be paid for EACH PAYMENT.  
**Assistance payments must be made directly to the utility or deliverable fuel provider.**
9. Client signature and date.

When assistance payments are made for deliverable fuels, agencies (grantees) must keep record of the metered delivery notification that documents the amount of deliverable fuel provided. This documentation should also include the amount of the deliverable fuel already on hand to show need.

<p>C-108 (7/97)</p> <p>Distribution: White -- Contract &amp; Grant Admir Canary -- Bureau Pink -- Contractor/Grantee</p> <h2 style="margin: 0;">FINANCIAL STATUS REPORT AND/OR PAYMENT REQUEST</h2> <p style="font-size: small; margin: 0;">Authorized by P.A. 380 of 1965, as amended, and appropriations approved by the Legislature. Completion is required for payment</p>								
<p>1. Name and Address of Vendor Organization</p> <p><b>Non-profit Organization</b> 1234 Any Street Any City, MI 12345</p>	<p>2. Agency (Bureau, Commission or Authority)</p> <p><b>Michigan Public Service Commission</b></p>			<p>3. Grant or Contract Number</p> <p><b>PSC-13-01</b></p>				
	<p>4. Federal I.D. Number or Social Security Number</p> <p><b>12-3456789</b></p>			<p>5. Final Report</p> <p><input checked="" type="checkbox"/> YES    <input type="checkbox"/> NO</p>		<p>6. FSR/Payment Request</p> <p style="text-align: center;"><b>#1</b></p>		
	<p>7. Project Title:</p> <p><b>Low-Income Energy Assistance Grant</b></p>			<p>8. Duration of Contract/Grant</p> <p>From: 12/04/12    To: 07/31/13</p>		<p>9. Current Report Period</p> <p>From: 12/04/12    To: 12/31/12</p>		
10. Cost Categories (salary, fringes, equipment, etc.)	Personnel	Supplies, Materials & Equipment	Contractual Services	Travel	Other Expenses	Direct Assistance	Indirect Cost	Total
a. Expenditures Previously Reported	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
b. Expenditures this Report Period	1,500.69	125.18	0.00	235.69	0.00	125,637.73	0.00	127,499.29
c. Expenditures to Date (line a plus line b)	1,500.69	125.18	0.00	235.69	0.00	125,637.73	0.00	127,499.29
d. Non-State Expenditures to Date	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
e. State Share of Expenditures (line c minus line d)	1,500.69	125.18	0.00	235.69	0.00	125,637.73	0.00	127,499.29
f. Total State Funds Authorized	65,000.00	500.00	0.00	1,200.00	0.00	897,899.00	35,401.00	1,000,000.00
g. Unexpended Balance of State Funds	63,499.31	374.82	0.00	964.31	0.00	772,261.27	35,401.00	872,500.71
11. Request for Reimbursement or Advance	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
h. State Share of Expenditures to Date (from line e above)								127,499.29
i. Total Amount of State Advances Previously Received								500,000.00
j. Advance Balance (line i minus line h)								372,500.71
k. Request Advance								0.00
l. Request Reimbursement (if applicable, line h minus line i)								0.00
12. Licensing and Regulatory Affairs Use Only — Holdback _____ % = \$ _____							Adjusted Payment	
13. Remarks	14. Certification: I certify that to the best of my knowledge and belief this report is correct and complete and that all expenditures are for the purposes set forth in the grant award/contract documents.				15. Agency Approval			
					Program Manager's Signature		Date	
	Signature _____ Date _____				Finance Manager's Signature		Date	

## Appendix C

### BUDGET Applicant's Name

Line Item	Budget Category	MPSC Share	TOTAL
<b>1</b>	<b>PERSONNEL</b>		
2	<i>Salary</i>		
3	Employee 1 (Job Title)	0	0
4	Employee 2 (Job Title)	0	0
5	<i>Total Salary</i>	<u>0</u>	<u>0</u>
6	<i>Fringe Benefits</i>		
7	Employee 1 (Job Title)	0	0
8	Employee 2 (Job Title)	0	0
9	<i>Total Fringe Benefits</i>	<u>0</u>	<u>0</u>
<b>10</b>	<b>Total Personnel</b>	<u><b>0</b></u>	<u><b>0</b></u>
<b>11</b>	<b>SUPPLIES, MATERIALS, AND EQUIPMENT</b>		
12		0	0
13		0	0
14		0	0
15	<b>Total Supplies, Materials, &amp; Equipment</b>	<u><b>0</b></u>	<u><b>0</b></u>
<b>16</b>	<b>CONTRACTUAL SERVICES</b>		
17		0	0
18		0	0
19		0	0
20	<b>Total Contractual Services</b>	<u><b>0</b></u>	<u><b>0</b></u>
<b>21</b>	<b>TRAVEL</b>		
22	Employee 1 (Job Title)	0	0
23	Employee 2 (Job Title)	0	0
24		0	0
25	<b>Total Travel</b>	<u><b>0</b></u>	<u><b>0</b></u>
<b>26</b>	<b>OTHER EXPENSES</b>		
27		0	0
28		0	0
29	<b>Other Expenses</b>	0	0
<b>30</b>	<b>DIRECT ASSISTANCE</b>		
31		0	0
32	<b>Total Direct Assistance</b>	<u><b>0</b></u>	<u><b>0</b></u>
33	Total Direct Cost	0	0
34	Indirect Cost (MPSC Rate: 0.0000)	0	0
35	Total Project Cost	<u><u>0</u></u>	<u><u>0</u></u>

**Employee Load Factors**

Employee: Jane Doe  
 Yearly Salary: 37,502.40  
 Budget Salary: 24,570.00  
 Budget Fringe: 7,755.00  
 Budget Fringe Rate: 31.56%

<b>Yearly Cost</b>		<b>% of Salary</b>
<b>BASIC BENEFITS</b>		
Health	2,904.96	0.07746100
Dental	-	0.00000000
Vision	361.70	0.00964500
Additional Benefits	-	0.00000000
Pension	1,125.07	0.03000000
<b>PAYROLL TAXES</b>		
Social Security	2,325.15	0.06200000
Medicare	543.78	0.01450000
Worker's Compensation	180.01	0.00480000
Unemployment	1,235.00	0.03293100
Loaded Hard Dollars	8,675.68	0.23133658
Actual Fringe Rate	Loading Factor:	23.133658%
<b>Employee Breakdown</b>		
	<b>SALARY</b>	<b>FRINGE</b>
<b>Annual</b>	37,502.40	8,675.68
<b>Monthly</b>	3,125.20	722.97
<b>Bi-monthly</b>	1,562.60	361.49
<b>Bi-weekly</b>	1,442.40	333.68
<b>Weekly</b>	721.20	166.84
<b>Daily</b>	144.24	33.37
<b>Hourly</b>	18.03	4.17

<b>DEPARTMENT OF TECHNOLOGY, MANAGEMENT &amp; BUDGET,          VEHICLE AND TRAVEL SERVICES (VTS)          SCHEDULE OF TRAVEL RATES FOR CLASSIFIED and UNCLASSIFIED EMPLOYEES          EFFECTIVE October 1, 2012</b>
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**MICHIGAN SELECT CITIES \***

## Meals and Lodging

Lodging**	\$75.00
Breakfast	8.75
Lunch	8.75
Dinner	21.00

**IN-STATE ALL OTHER**

## Meals and Lodging

Lodging **	\$75.00
Breakfast	7.25
Lunch	7.25
Dinner	16.50

## Group Meetings

Group Lunch	10.25
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**OUT-OF-STATE SELECT CITIES \***

## Meals and Lodging

Lodging **	Contact Conlin Travel
Breakfast	11.00
Lunch	11.00
Dinner	22.00

**OUT-OF-STATE ALL OTHER**

## Meals and Lodging

Lodging **	Contact Conlin Travel
Breakfast	8.75
Lunch	8.75
Dinner	20.50

## Incidental Costs Per Day (with overnight stay)

\$2.00

**MILEAGE RATES****Standard Rate (State's Mid-Sized Car Cost)****\$.390 per mile**

\* See select cities listing.

\*\* Lodging available nightly at State rate, or call Conlin Travel at 877-654-2179.

**SELECT HIGH COST CITY LIST**  
**TRAVEL RATE REIMBURSEMENT FOR CLASSIFIED and UNCLASSIFIED EMPLOYEES**  
**EFFECTIVE October 1, 2012**

<b><u>MICHIGAN SELECT CITIES AND COUNTIES</u></b>			
<b>CITIES</b>		<b>COUNTIES</b>	
Ann Arbor, Detroit, Holland, Mackinac Island, Pontiac, Auburn Hills, South Haven		All of Wayne All of Oakland	
<b><u>OUT-OF-STATE SELECT CITIES</u></b>			
<b>STATE</b>	<b>SELECT CITY OR COUNTY AS DEFINED</b>	<b>STATE</b>	<b>SELECT CITY OR COUNTY AS DEFINED</b>
<b>ARIZONA</b>	Phoenix Scottsdale	<b>MINNESOTA</b>	Minneapolis / St. Paul Hennepin & Ramsey County
<b>CALIFORNIA</b>	Los Angeles (Los Angeles, Orange & Ventura Counties, and Edwards AFB) / Monterey / Palm Springs / San Diego / San Francisco / Santa Monica South Lake Tahoe / Truckee / Yosemite National Park	<b>NEVADA</b>	Las Vegas
<b>COLORADO</b>	Aspen / Telluride / Vail	<b>NEW MEXICO</b>	Santa Fe
<b>CONNECTICUT</b>	Bridgeport / Danbury	<b>NEW YORK</b>	Manhattan (the borough of Manhattan, Brooklyn, Bronx, Queens, and Staten Island) Riverhead / Ronkonkoma Melville / Smithtown / Tarrytown White Plains / New Rochelle
<b>DISTRICT OF COLUMBIA</b>	Washington DC (also the cities of Alexandria, Falls Church and Fairfax, and the counties of Arlington and Fairfax, in Virginia; and the counties of Montgomery and Prince George's in Maryland) (See also Maryland and Virginia)	<b>PENNSYLVANIA</b>	Bucks County / Philadelphia
<b>FLORIDA</b>	Boca Raton / Delray Beach / Jupiter / Fort Lauderdale / Key West	<b>RHODE ISLAND</b>	Bristol / Jamestown / Middletown Newport (Newport County) Providence
<b>IDAHO</b>	Sun Valley / Ketchum	<b>TEXAS</b>	Austin / Dallas / Houston L.B. Johnson Space Center
<b>ILLINOIS</b>	Chicago (Cook and Lake Counties)	<b>UTAH</b>	Park City (Summit County)
<b>LOUISIANA</b>	New Orleans	<b>VERMONT</b>	Manchester / Stowe (Lamoille County)
<b>MARYLAND</b>	Counties of Montgomery and Prince Georges / Baltimore City / Ocean City	<b>VIRGINIA</b>	Alexandria / Falls Church / Fairfax
<b>MASSACHUSETTS</b>	Boston(Suffolk)/Cambridge/Burlington Woburn / Martha's Vineyard	<b>WASHINGTON</b>	Seattle

**TRAVEL LOG**

Grant No. PSC- \_\_\_\_\_

Period: \_\_\_\_\_

Employee: \_\_\_\_\_

Date	Odometer Reading		Daily Total	Personal Miles	Starting Location (complete address)	Destination (complete address)	Miles x .390 =			Reason for Travel
	Start/End						Reimbursement			
<b>Total:</b>										

Employee  
Signature: \_\_\_\_\_

Date: \_\_\_\_\_